PRIMARY OUTCOME ANALYSIS OF INVASIVE DISEASE-FREE SURVIVAL FOR monarchE: ABEMACICLIB PLUS ADJUVANT ENDOCRINE THERAPY FOR HIGH-RISK EARLY BREAST CANCER

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INTRODUCTION

Maximum of 16 months from surgery to randomization

- Approximately 20% of patients with HR+, HER2- early breast cancer (EBC) will experience disease recurrence within the first 10 years¹
- Certain clinical and/or pathological features including high expression of Ki-67 have been shown to be associated with a higher recurrence rate^{2,3}
- Abemaciclib, an oral, continuously dosed, CDK4 & 6 inhibitor is approved for HR+, HER2- advanced breast cancer in combination with ET^{4,5}
- At the second preplanned interim analysis (IA2) with 323 invasive disease-free survival (IDFS) events, monarchE achieved its primary endpoint by demonstrating superior IDFS when abemaciclib was combined with ET compared to ET alone in patients with HR+, HER2-, node-positive, high risk, EBC6 p=0.0096, HR (95% CI): 0.747 (0.598, 0.932)
- Here we report results from the primary outcome (PO) IDFS analysis which was planned to occur at approximately 390 IDFS events

monarchE STUDY DESIGN 1-3 ALN and at least 1 of the Abemaciclib (150mg twice daily for up to 2 yearsb) $N = 5637^{a}$ (5 to 10 years as clinically indicated) HR+, HER2-, Node+ high risk R 1:1 ITT includes early breast both C1 and C2 Standard of Care Endocrine Therapyb, Stratified for: Cohort 2: Inclusion based or Prior chemotherap Menopausal status 1-3 ALN and Centrally tested Ki-67 Other criteria: Not Grade 3 and tumor size Women or men Primary Objective: Invasive disease-free survival (IDFS) (STEEP criteria) Pre-/ post menopausa Key Secondary Objectives: IDFS in Ki-67 high (≥20%) population, Distant With or without prior neo- and/or adjuvant chemotherapy relapse-free survival (DRFS), Overall survival, Safety, Patient reported

outcomes, and Pharmacokinetics

^aRecruitment from July 2017 to August 2019; bTreatment period = first 2 years on study treatment after randomization; ^cEndocrine therapy of physician's choice [e.g. aromatase inhibitors, tamoxifen, LHRH agonist]; dKi-67 expression assessed in all patients from both cohorts with suitable untreated breast tissue using Ki-67 immunohistochemistry Assay by Dako/Agilent; Abbreviations: ALN, positive axillary lymph nodes; R, randomized

and 12 weeks of ET following the last non-ET **RESULTS** Invasive Disease-Free Survival (ITT) at PO Analysis **Number of IDFS events** Abemaciclib + ET ET Alone Nominal p = 0.0009 (2-sided) IR (95% CI): 0.713 (0.583, 0.871 Risk of developing an IDFS event reduced by 28.7% 3 6 9 12 15 18 21 24 27 30 33 30 27 Number at risk 2619 2573 2519 2076 2653 2609 2548 2093 1499 1033 627 Statistically significant and clinically meaningful improvement in IDFS with greater treatment benefit at PO analysis Two-year IDFS rates were 92.3% in the abemaciclib + ET arm and 89.3% in the ET arm - 3.0% difference IDFS in Prespecified Subgroups at PO Analysis ET Alone Abemaciclib + ET ET Alone HR (95% CI) 0.713 (0.583, 0.871 Overall 0.713 (0.500, 1.017 1126 554 0.644 (0.459, 0.904) 0.740 (0.520, 1.052) 10 or more Histologic Grade 0.919 (0.373, 2.260 Grade 1 1395 0.669 (0.491, 0.912) 0.751 (0.562, 1.003) Grade 3 Primary Tumor Size 0.565 (0.373, 0.856) 0.834 (0.624, 1.115) 0.650 (0.441, 0.960) Prior Chemotherapy 0.608 (0.466, 0.794 Neoadjuvant 0.826 (0.598, 1.141) Menopausal Statu 0.584 (0.420, 0.814) 0.803 (0.624, 1.034) 582 768 0.683 (0.487, 0.959) 0.660 (0.531, 0.821) 1.081 (0.646, 1.810) ≥65 years Progesterone Recepto 0.698 (0.557, 0.874) Positive 0.732 (0.361, 1.482) 0.882 (0.484, 1.605) 0.722 (0.502, 1.038) Stage IIIC 0.644 (0.477, 0.870) Baseline ECOG PS 0.660 (0.530, 0.822) 1.095 (0.662, 1.810)

Statistical Considerations

- Primary outcome efficacy analysis
- Planned: study required approximately 390 IDFS events, at ~85% power with assumed IDFS hazard ratio (HR) of 0.73 and cumulative 2-sided alpha level of 0.05 Observed: 395 IDFS events in the ITT population^a

No statistically significant interactions observed supporting consistent benefit across all subgroups at PO analysis

- Key efficacy analyses
- IDFS in ITT: statistical significance was achieved at the second interim analysis
- IDFS in Ki-67 high (≥20%) in ITT (cohorts 1 and 2): sequentially tested at the primary outcome analysis, with two-sided p-value boundary at 0.0424b DRFS in ITT: not alpha controlled

^aData cutoff July 8, 2020; ^bRemaining alpha level for this endpoint at the primary outcome analysis, calculated using method of Slud and Wei (1982)

Accrual and Analysis

- Median follow-up: 19.1 months in both arms (15.5 months at IA26)
 - 25.5% (n=1437) patients completed the 2-year treatment period (12.5% at IA26)

- 58.2% (n=3281) were still on the 2-year treatment period (72.8% at IA26) Abemaciclib + ET ET Alone N = 2808N = 2829n = 2791n = 2800n = 1236 n = 1262

■ Ki-67 was centrally tested in all available primary untreated tumor samples in the ITT population and the Ki-67 high population includes all patients in cohorts 1 and 2 with Ki-67 ≥20%

References

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- 4. Sledge GW et al. J Clin Oncol 2017; 35:2875-2884 5. Goetz MP et al. J Clin Oncol 2017;35:3638-46

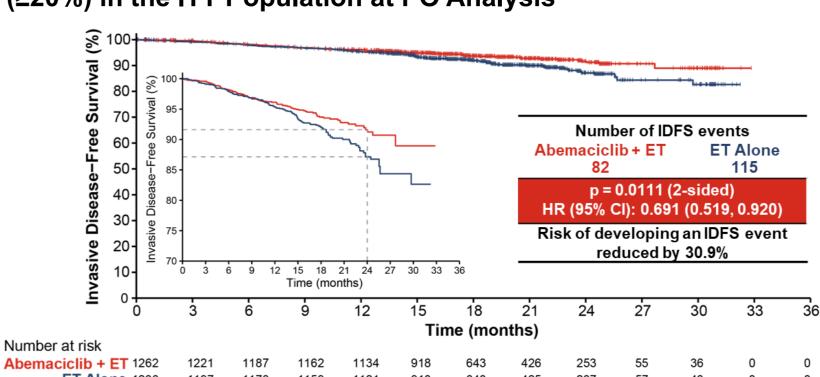
6. Johnston SD et al JCO 2020

0.673 (0.530, 0.856 0.736 (0.483, 1.123)

0.977 (0.446, 2.141)

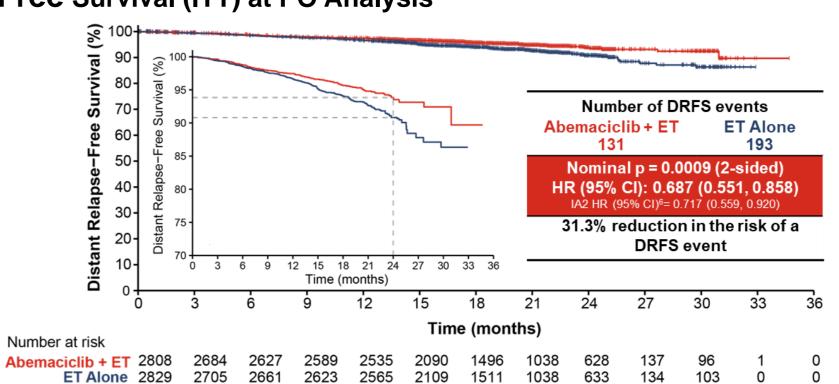
Disclosure: Previously presented at San Antonio Breast Cancer Symposium®; Virtual; December 8-11, 2020

IDFS in Ki-67 High (≥20%) in the ITT Population at PO Analysis



Ki-67 was tested in all eligible patients in cohorts 1 and 2 with suitable untreated breast tissue Statistically significant and clinically meaningful improvement in IDFS in patients with high Ki-67 tumors Two-year IDFS rates were 91.6% in the abemaciclib + ET arm and 87.1% in the ET arm - 4.5% difference

Distant Relapse-Free Survival (ITT) at PO Analysis



Clinically meaningful reduction in risk of developing distant metastasis with greater treatment benefit at PO analysis Two-year DRFS rates were 93.8% in the abemaciclib + ET arm and 90.8% in ET arm - 3.0% difference

Treatment-Emergent Adverse Events at PO Analysis

	Abemaciclib + ET; N = 2791, n (%)			ET alone; N = 2800, n (%)		
≥20% in either arm	Any Grade	Grade 3	Grade 4	Any Grade	Grade 3	Grade 4
Diarrhea	2304 (82.6)	214 (7.7)	0 _p	218 (7.8)	5 (0.2)	0
Fatigue ^a	1094 (39.2)	78 (2.8)	0	464 (16.6)	4 (0.1)	0
Arthralgia ^a	614 (22.0)	7 (0.3)	0	928 (33.1)	20 (0.7)	0
Neutropenia	1262 (45.2)	515 (18.5)	18 (0.6)	145 (5.2)	17 (0.6)	3 (0.1)
Leukopenia	1038 (37.2)	301 (10.8)	4 (0.1)	177 (6.3)	10 (0.4)	0
Abdominal Pain ^a	959 (34.4)	37 (1.3)	0	251 (9.0)	9 (0.3)	0
Nausea ^a	795 (28.5)	13 (0.5)	0	232 (8.3)	1 (<0.1)	0
Hot Flush ^a	405 (14.5)	4 (0.1)	0	611 (21.8)	10 (0.4)	0
Anemia	656 (23.5)	50 (1.8)	1 (<0.1)	94 (3.4)	9 (0.3)	1 (<0.1)
Other AEs of interest						
VTE	67 (2.4)	31 (1.1)	6 (0.2)	16 (0.6)	6 (0.2)	Op
PE	26 (0.9)	23 (0.8)	3 (0.1)	4 (0.1)	3 (0.1)	O p
ILD	82 (2.9)	10 (0.4)	Op	34 (1.2)	1 (<0.1)	0

1 (<0.1) ^aPT has a maximum CTCAE Grade of 3; ^b1 Grade 5 event occurred; Abbreviations: VTE, venous thromboembolic event; PE, pulmonary embolism; ILD, interstitial lung disease

Safety was consistent with the known profile of abemaciclib and results from the second interim analysis

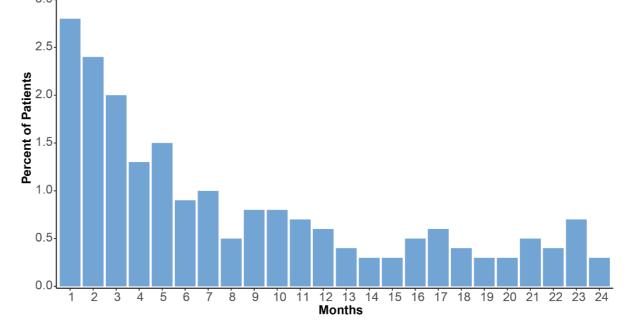
Abemaciclib Dose Holds and Reductions

	Abemaciclib + ET; N=2791 (%)
Patients with ≥1 dose hold and/or reduction	1958 (70.2)
Number (%) of patients with ≥1 dose hold	1844 (66.1)
Reasons leading to dose hold, n (%) ^a	
Adverse events	1661 (59.5)
Diarrhea	530 (19.0)
Neutropenia	427 (15.3)
Leukopenia	193 (6.9)
Pre-planned surgery	340 (12.2)
Scheduling conflict	101 (3.6)
Treatment availability	23 (0.8)
Number (%) of patients with ≥1 dose reduction	1193 (42.7)
Reasons leading to dose reduction, n (%) ^a	
Adverse events	1187 (42.5)
Diarrhea	474 (17.0)
Neutropenia	217 (7.8)
Fatigue	124 (4.4)

^aPatients may be counted in more than one dose hold or dose reduction sub-category; values not adding up to the total number were due to missing or other reasons

Abemaciclib Discontinuations at PO Analysis

 Over half of the early discontinuations due to AEs occurred within the first 5 months of treatment Discontinuations of abemaciclib due to AEs



Treatment Discontinuation	Abemaciclib + ET N=2791, n (%)	ET alone N=2800, n (%)				
For any reason	773 (27.7) ^a	410 (14.6)				
Due to AEs, including deaths due to AEs	481 (17.2) ^c	23 (0.8)				
Diarrhea	141 (5.1)	0				
Fatigue	53 (1.9)	0				
Neutropenia	26 (0.9)	0				
Withdrawal by subject	156 (5.6)	160 (5.7)				
IDFS/DRFS events	136 (4.9)	204 (7.3)				
Deaths due to study disease	2 (<0.1)	2 (<0.1)				
Noncompliance	8 (0.3)	0				
Other ^b	32 (1.1)	21 (0.8)				

^aSome patients who discontinued abemaciclib and remained on ET may have been double counted for an early discontinuation due to a different reason once ET was discontinued; bOther includes lost to follow-up (0.3, 0.4), physician decision (0.5, 0.1), protocol deviation (0, 0.3), study terminated (0, 0.1) and other (0.3, 0) in the abemaciclib + ET alone and ET alone arm, respectively; 6.2% of patients discontinued both abemaciclib and ET due to AEs

CONCLUSIONS

- At the preplanned PO analysis, with 395 events and an additional 3.6 months median follow-up, abemaciclib combined with standard ET Continued to demonstrate a reduction in the risk of developing IDFS and DRFS events for patients with HR+,HER2-,
- Resulted in a statistically significant improvement in IDFS in patients with high (≥20%) Ki-67 tumors Safety was consistent with IA2 and the known safety profile of abemaciclib
- Most discontinuations due to AEs occurred within the first 5 months of study treatment
- Most patients who required a dose hold or reduction were able to remain on study treatment Study is ongoing, until the final assessment of overall survival
- Abemaciclib in combination with ET is the first CDK4 & 6 inhibitor to demonstrate efficacy and tolerability for patients with HR+, HER2-, node-positive, high risk, EBC

Acknowledgments

- We thank the 5,637 patients and their families/caregivers from 603 sites in the following 38 countries for participating in this
- We would like to generously thank the investigators and their support staff who participated in this work We are very grateful for the time and efforts of the monarchE
- **Executive and Steering Committees** This study was sponsored by Eli Lilly and Company

